**PATENT** 

Docket No.: 176/60807 (6-11406-955)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Thomas J. Guttuso, Jr.	)	Examiner:
Serial No.	:	Continuation of 09/879,390	)	To Be Assigned
Cnfrm. No.	:	To Be Assigned	)	Art Unit: To Be Assigned
Filed	:	Herewith	)	
For	:	METHOD OF TREATING SYMPTOMS OF HORMONAL VARIATION, INCLUDING HOT FLASHES, USING TACHYKININ RECEPTOR ANTAGONIST	•	

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

Mail Stop: Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicant hereby brings to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Pursuant to 37 CFR § 1.98(d), the references identified on the attached PTO-1449 form are not provided, because these references either were previously cited by or submitted to the PTO in parent U.S. Patent Application Serial No. 09/879,390, filed June 12, 2001, of which this application claims priority under 35 USC § 120.

Respectfully submitted,

Date: June 27, 2003

Edwin V. Merkel

Registration No. 40,087

NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051

Telephone: (585) 263-1128 Facsimile: (585) 263-1600

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.				
INFORMATION DISCLOSURE	176/60807 (6-11405-955)	CON of 09/879,390				
STATEMENT BY APPLICANT	APPLICANT					
(use several sheets if necessary)	Thomas J. Guttuso, Jr.					
(PTO-1449)	FILING DATE	GROUP ART UNIT				
(F10-1449)	Herewith					

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	1	5,744,156		De Lacharriere et al.	1		

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE
2	WO 99/09987	03/04/99	WIPO			
3	WO 98/43639	10/08/98	WIPO			
4	WO 96/24353	08/15/96	WIPO			

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	5	Hay, "Chronic Obstructive Pulmonary Disease: Emerging Therapies," Current Opinion in Chemical Biology 4:412-419					
		(2000)					
	6	Abraham et al., "Cancer Chemotherapy and Radiation Induced Emesis (CRIE): Current and Future Therapeutic Approaches,"					
		Indian Journal of Pharmacology 32:256-268 (2000)					
	7	Von Sprecher et al., "Neurokinin Antagonists as Potential Therapies for Inflammation and Rheumatoid Arthritis,"					
7		<u>Idrugs</u> 1(1):73-91 (1998)					
	8	Rance et al., "Hypertrophy and Increased Gene Expression of Neurons Containing Neurokinin-B and Substance-P Messenger					
		Ribonucleic Acids in the Hypothalami of Postmenopausal Women," Endocrinology 128(5):2239-2247 (1991)					
	9	Casper et al., "Menopausal Flushes: A Neuroendocrine Link with Pulsatile Luteinizing Hormone Secretion,"					
		<u>Science</u> 205:823-825 (1979)					
	10	Norheim et al., "Tachykinins in Carcinoid Tumors: Their Use as a Tumor Marker and Possible Role in the Carcinoid Flush,"					
		Journal of Clinical Endocrinology and Metabolism 63(3):605-612 (1986)					
	11	Conlon et al., "Circulating Tachykinins (Substance P, Neurokinin A, Neuropeptide K) and the Carcinoid Flush,"					
		Scand. J. Gastroenterol. 22: 97-105 (1986)					
	12	Öberg et al., "The Effects of Octreotide on Basal and Stimulated Hormone Levels in Patients with Carcinoid Syndrome,"					
		Journal of Clinical Endocrinology and Metabolism 68(4):796-800 (1989)					
XAMINER		DATE CONSIDERED					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	13	Balks et al., "	Effect of a Lo	ng-Acting Somat	ostatin Analogue (Octreotide)	on Circulatio	ng Tachy	kinins and the	
		Pentagastrin-Ir	nduced Carcin	oid Flush," Eur.	J. Clin. Pharmacol. 36:133-1	37 (1989)			
	14	Schaffalitzky d	le Muckadell	et al., "Flushing	and Plasma Substance P Cond	entration Du	ıring Infi	usion of Synthetic S	Substance
		P in Normal M	lan," Scand.	J. Gastroenterol.	21: 498-502 (1985)				
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not considered. In	nclude cop	y of this form w	ith next com	nunication to appl	licant.				

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	14						ration Du	ring Infu	usion of Synthetic S	Substance
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### **EXPRESS MAIL CERTIFICATE**

DOCKET NO .:

176/60807 (6-11406-955)

APPLICANT:

Thomas J. Guttuso, Jr.

TITLE:

METHOD OF TREATING SYMPTOMS OF HORMONAL

VARIATION, INCLUDING HOT FLASHES, USING

TACHYKININ RECEPTOR ANTAGONIST

Certificate is attached to the Information Disclosure Statement (1 page) and PTO-1449 (2 page) (in duplicate) with 14 references (not attached) of the above-named application.

EXPRESS MAIL NUMBER:

EV138586781US

DATE OF DEPOSIT:

June 27, 2003

I hereby certify that this paper or fee is being deposited with the United States

Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on
the date indicated above and is addressed to Mail Stop Patent Application, Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_ Shawn A. Lockett

(Typed or printed name of person mailing paper or fee)

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